

## 附件 1

## Appendix 1

## 设立外商投资股权投资管理企业申请表

## Application Form for Establishing a Hainan QFLP PFM

|  |  |   |                              |   |
|--|--|---|------------------------------|---|
| 拟注册企业名称<br>Name of the Enterprise                              |  |   |                              |   |
| 拟注册企业经营范围<br>Scope of Business                                 |  |   |                              |   |
| 认缴出资构成<br>Composition of Capital Commitment                    | 外资金额: _____%<br>Foreign capital amount | 内资金额: _____%<br>Domestic capital amount | 合计金额:<br>Total:              |   |
| 实缴出资构成<br>Composition of Capital Contribution                  | 外资金额: _____%<br>Foreign capital amount | 内资金额: _____%<br>Domestic capital amount | 合计金额:<br>Total:              |   |
| 法人代表(或执行事务合伙人)<br>Legal Representative (or Management Partner) |  | 联系人<br>Contact Person                   | 联系电话<br>Contact Phone Number |   |
| 拟注册企业高管概况<br>General Information of Senior Executives          | 姓名<br>Name                             | 专业资质<br>Qualification                   | 从业经验<br>Working Experience   | 主要业绩<br>Major Deal                            |
|  |  |   |                              |   |
|  |  |   |                              |   |
|  |  |   |                              |   |
| 股东(合伙人)名称<br>Shareholder (Partner) Name                        |  | 出资额<br>Capital Contribution             |                              | 注册地(居住地)<br>Place of Incorporation (Domicile) |
| 1.   |  | 万元                                      | %                            |   |
| 2.   |  | 万元                                      | %                            |   |
| 3.   |  | 万元                                      | %                            |   |
| 主要投资主体企业概况(企业简介、主营业务、经营情况等)                                    |  |   |                              |   |

|   |                          |   |                                    |                            |                    |
|---|--------------------------|---|------------------------------------|----------------------------|--------------------|
| General information of the primary investment entity (company profile, main business, operation condition, etc.)                                    |                          |   |                                    |                            |                    |
| 主要投资主体行业优势（行业资质、行业排名、过往业绩等）<br>Industry advantages of primary investment entity (industry qualifications, industry ranking, past performance, etc.) |                          |   |                                    |                            |                    |
| 主要投资主体企业高管概况<br>Senior Management Personnel of primary investment entity  |                          | 姓名<br>Name  | 专业资质<br>Professional Qualification | 从业经验<br>Working Experience | 主要业绩<br>Major Deal |
|   |                          |   |                                    |                            |                    |
|   |                          |   |                                    |                            |                    |
|   |                          |   |                                    |                            |                    |
| 主要投资主体企业简要财务数据<br>Brief financial data of the primary investment entity   | （币种： ）<br>(Currency: )   |   | ____年<br>Year                      | ____年<br>Year              | ____年<br>Year      |
|   | 资产总额<br>Total Asset      | 万元  |                                    |                            |                    |
|   | 负债率<br>Debt Ratio        | %   |                                    |                            |                    |
|   | 营业收入<br>Operating Income | 万元  |                                    |                            |                    |
|   | 净利润<br>Net Profit        | 万元  |                                    |                            |                    |
|   | 纳税额<br>Tax Payment       | 万元  |                                    |                            |                    |
| 申请人申明<br>Declaration of applicant   |                          | 本企业提供的申请材料和以上所填写资料均真实准确，否则愿意承担相应的法律责任。<br>The application materials provided herein and the information filled in |                                    |                            |                    |

|               |  |   |
|---------------|--|---|
|               | above are authentic and accurate, otherwise the applicant and the enterprise will assume corresponding legal liabilities.  |   |
|               | 申请人:<br>Applicant  | 年 月 日<br>Year Month Day   |
| 意见<br>Opinion | 同意该企业纳入外商投资股权投资管理企业。<br>The enterprise shall be approved to be a foreign-invested equity investment management enterprise. |   |
|               | 经办人:<br>Responsible Person   | 处室(部门)领导:<br>Director of Office (Department)<br>厅(局)、市县政府(或授权同级金融管理单位)、重点产业园区管委会领导:<br>Director of department (bureau), the municipal or county government (or authorized financial management authorities at the same level), or an administrative committee of a key industrial park, |
|               | (单位公章)<br>(Seal)   |   |
|               | 年 月 日<br>Year Month Day  |   |

附件 2  
Appendix 2

设立外商投资股权投资企业申请表

Application Form for Establishing a Hainan QFLP Fund

|  |  |   |                              |  |
|--|--|---|------------------------------|--|
| 拟注册企业名称<br>Name of the Enterprise  |  |   |                              |  |
| 拟注册企业经营范围<br>Scope of Business   |  |   |                              |  |
| 认缴出资构成<br>Composition of Capital Commitment  | 外资金额: _____%<br>Foreign capital amount | 内资金额: _____%<br>Domestic capital amount | 合计金额:<br>Total:              |  |
| 实缴出资构成<br>Composition of Capital Contribution  | 外资金额: _____%<br>Foreign capital amount | 内资金额: _____%<br>Domestic capital amount | 合计金额:<br>Total:              |  |
| 法人代表 (或执行事务合伙人)<br>Legal Representative (or Executive Partner)   |  | 联系人<br>Contact Person                   | 联系电话<br>Contact Phone Number |  |
| 拟注册企业高管概况<br>General Information of Senior Executive   | 姓名<br>Name                             | 专业资质<br>Qualification                   | 从业经验<br>Working Experience   | 主要业绩<br>Major Deal                             |
|  |  |   |                              |  |
|  |  |   |                              |  |
|  |  |   |                              |  |
| 股东 (合伙人) 名称<br>Shareholder (Partner) Name  |  | 出资额<br>Capital Contribution             |                              | 注册地 (居住地)<br>Place of Incorporation (Domicile) |
| 1.   |  | 万元                                      | %                            |  |
| 2.   |  | 万元                                      | %                            |  |
| 3.   |  | 万元                                      | %                            |  |
| 主要投资主体企业概况 (企业简介、主营业务、经营情况等)<br>General information of the primary investment entity (company profile, |  |   |                              |  |



|               |  |  |       |     |
|---------------|--|--|-------|-----|
|               | Applicant  | Year   | Month | Day |
| 意见<br>Opinion | 同意该企业纳入外商投资股权投资企业。<br>The enterprise shall be approved to be a foreign-invested equity investment enterprise.  |  |       |     |
|               | 经办人：<br>Responsible Person   | 处室（部门）领导：<br>Director of Office (Department) |       |     |
|               | 厅（局）、市县政府（或授权同级金融管理单位）、重点产业园区管委会领导：<br>Director of department (bureau), the municipal or county government (or authorize financial management unit at the same level) or an administrative committee of a key industrial park, |  |       |     |
|               | （单位公章）<br>(Seal)   |  |       |     |
|               |  | 年  | 月     | 日   |
|               |  | Year   | Month | Day |